Attorney Docket No.: 16869N-101600US

Client Reference No.: NT1397US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kazunori ANDO, et al.

Examiner:

Application No.:

Art Unit:

Filed:

For: IC CARD HAVING SECURITY

CONTROL

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Kazunori ANDO, et al. Application No.: Page 2

Respectfully submitted,

Mark D. Barrish Reg. No. 36,443

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: 650-326-2400

Fax: 650-326-2422 RCC:mks 60089831 v1

Substitu	te for form 1449A/PT	0		Complete if Known			
				Application Number			
INFO	ORMATION	DIS	SCLOSURE	Filing Date			
STATEMENT BY APPLICANT				First Named Inventor	Ando, Kazunori		
				Art Unit			
(use as many sheets as necessary)			necessary)	Examiner Name			
Sheet	1	of	1	Attorney Docket Number	16869N-101600US		

U.S. PATENT DOCUMENTS+								
		Document Number			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document				
	1	US-						
	2	US-						
	3	US-						
	4	US-						
	5	US-						
_	6	US-						
	7	US-						
	8	US-						
	9	US-						
	10	US-						
	11	US-						
	12	US-						
	13	US-						
·	14	US-						
	15	US-						
	16	US-						
	17	US-						
	18	US-						
	19	US-						
	20	US-						

				FOREI	GN PATENT DO	CUMENTS		
Examiner Initials*	Cite No.1	Country Code ³	Foreign Patent Docume Number ⁴	Kind Code ⁵	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [®]
	21	JP	11-306301		11-05-1999	Dainippon Printing Co Ltd		
	22	JP	2002-150256		05-24-2002	Dainippon Printing Co Ltd		
	23	JP	2000-036027	-	02-02-2000	Nippon Signal Co Ltd		
	24							
	25							
	26							
	27							
	28							

Examiner Signature	Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

60089831 v1